



**PATENT**  
Attorney Docket No. TAM-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Fawcett et al.

SERIAL NO.: 10/712,076 GROUP NO.: 3629

FILING DATE: November 13, 2003 EXAMINER: Not yet assigned

TITLE: Systems and Methods for Retrieving Data

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patent and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **filin** **g date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

(2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

the requisite Statement is below, **OR**

the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

(3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, **AND**

the requisite Statement is below, **AND**

the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

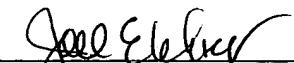
1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or

2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: March 17, 2005  
Reg. No. 56,401

Tel. No.: (617) 570-1057  
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VER 11/03

  
Joe B. Lehrer  
Attorney for Applicants  
Goodwin Procter LLP  
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Boston, Massachusetts 02109  
Customer No. 051414



FORM 1449 SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: TAM-001 APPLICANT(S): Fawcett et al. SERIAL NO.: 10/712,076 FILING DATE: November 13, 2003 GROUP: 3629					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A38	6,618,732	09/09/03	White et al.		707	103	11/14/00	
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY ENGLISH LANG (Y/N)	
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C11	International Search Report for PCT/US2004/038641 dated February 17, 2005.							
	C12	Written Opinion of the International Searching Authority for PCT/US2004/038641 dated February 17, 2005.							
	C13	"KBMS-Prototype KRISYS User Manual Overview," December 1992, pages 1-94.							
	C14	Mattos et al., "Grand Tour of Concepts for Object-Orientation from a Database Point of View," Data & Knowledge Engineering, vol. 9, no. 3, 1992, pages 321-352.							
	C15	Pollitt et al., "HIBROWSE: Adding the Power of Relational Databases to the Traditional IR Architecture – The Future for Graphic User Interfaces," Information Retrieval. New Systems and Current Research. Proceedings of the Research Colloquium of the British Computer Society Systems and Current Research, March 29 1993.							
	C16	Zhang et al., "On a Buzzword "Extensibility" –What We Have Learned from the ORIENT Project?" Database Engineering and Applications, 1999. Ideas '99. International Symposium Proceedings Montreal, Que., Canada 2-4 Aug. 1999, Los Alamitos, CA, USA, IEEE Comput. Soc. US, August 2, 1999, pages 360-369							
EXAMINER				DATE CONSIDERED					

VER 9/00



**PATENT**  
Attorney Docket No.TAM-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Fawcett et al.

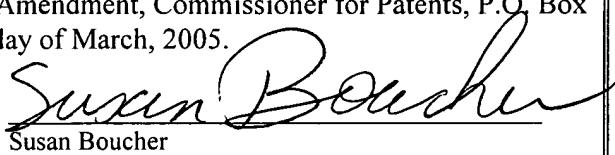
SERIAL NO.: 10/712,076 GROUP NO.: 3629

FILING DATE: November 13, 2003 EXAMINER: Not yet assigned

TITLE: Systems and Methods for Retrieving Data

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17 day of March, 2005.



Susan Boucher

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Second Supplemental Information Disclosure Statement (2 pages);
3. Form PTO 1449 (1 page);
4. Copies of cited references C11-C16; and
5. Return-receipt postcard.

VER 9/00

LIBA/1515240.1

**TRANSMITTAL  
FORM**



<b>TRANSMITTAL FORM</b>	Application Serial Number	10/712,076
	Filing Date	November 13, 2003
	First Named Inventor	Fawcett
	Group Art Unit	3629
	Examiner Name	Not yet assigned
	Attorney Docket No.	TAM-001
	Patent No.	Not applicable
	Issue Date	Not applicable

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings</li> </ul> <p>[Total Sheets _____]</p>	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Form PTO-1449</li> <li><input checked="" type="checkbox"/> Copies of Second Supp. IDS Citations (C11-C16)</li> </ul>	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <li><input type="checkbox"/> Paper Copy/CD</li> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Statement verifying identity of above</li> </ul>	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul>	

<b>CORRESPONDENCE ADDRESS</b>	<b>SIGNATURE BLOCK</b>
Direct all correspondence to: Patent Administrator Goodwin Procter LLP Exchange Place Boston, MA 02109 Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414	Respectfully submitted, <div style="text-align: center;">           Joel E. Lehrer          Attorney for Applicant          Goodwin Procter LLP          Exchange Place          Boston, MA 02109       </div>

VER 12/00